

**U.S. District Court
Southern District of New York (Foley Square)
CIVIL DOCKET FOR CASE #: 1:01-cv-06453-JSR**

S.E.C. v. American Bank Note
Assigned to: Judge Jed S. Rakoff
Demand: \$0
Related Case: [1:01-cv-06449-JSR](#)
Cause: 15:77 Securities Fraud

Date Filed: 07/18/2001
Date Terminated: 07/20/2001
Jury Demand: None
Nature of Suit: 850 Securities/Commodities
Jurisdiction: U.S. Government Plaintiff

Plaintiff**Securities and Exchange Commission**

represented by **Robert B. Blackburn**
U.S. Securities and Exchange Commission
(Bdwy)
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New York, NY 10279
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LEAD ATTORNEY

V.

Defendant**American Bank Note Holographics, Inc.**

Date Filed	#	Docket Text
07/18/2001	1	COMPLAINT filed. Summons issued and Notice pursuant to 28 U.S.C. 636(c). . (jp) (Entered: 07/19/2001)
07/18/2001		CASE REFERRED TO Judge Jed S. Rakoff as possibly similar to 1:01cv6449. (jp) (Entered: 07/19/2001)
07/19/2001		Case accepted as related to 01cv6449. (gmo) (Entered: 08/07/2001)
07/19/2001	4	Notice of Case Assignment to Judge Jed S. Rakoff . Copy of notice and judge's rules mailed to Attorney(s) of record: Robert B. Blackburn . (gmo) (Entered: 08/07/2001)
07/19/2001		Magistrate Judge James C. Francis is so designated. (gmo) (Entered: 08/07/2001)
07/20/2001	2	FINAL JUDGMENT; it is hereby ordered, adjudged and decreed that within 10 days of the entry of this Final Judgment, ABNH shall pay the sum of \$75,000 to the U.S. Treasury, representing a civil penalty, purs. to the Securities Enforcement Remedies and Penny Stock Reform Act of 1990, Section 21(d)(3) of the Exchange Act [15 U.S.C. 78u(d)(3)]. There being no reason for delay, the Clerk of the Court is hereby directed, purs. to Rule 54(b) of the FRCP, to enter this Final Judgment forthwith and without further notice. (signed by Judge George B. Daniels, Part 1); Mailed copies and notice of right to appeal. Entered On Docket: 7/23/01. (sac) Modified on 07/24/2001 (Entered: 07/23/2001)
07/20/2001		Case closed. (sac) (Entered: 07/23/2001)

07/31/2001	3	FINAL JUDGMENT as to American Bank Note Holographics, Inc.: within 10 days of the entry of the Final Judgment, ABNH shall pay the sum of \$75,000 to the United States Treasury, representing a civil penalty, purs. to the Securities Enforcement Remedies and Penny Stock Reform Act of 1990, Section 21(d)(3) of the Exchange Act [15 USC 78u(d)(3)]. Such payment shall be made by bank cashier's check, certified check, United States postal money order, or bank money order made payable to the Securities and Exchange Commission and shall be hand-delivered or mailed to the Comptroller, Securities and Exchange Commission, Operations Center, 6432 General Green Way, Mail Stop O-3, Alexandria, Virginia 22312. This Court shall retain jurisdiction of this action for all purposes, including implementation and enforcement of the terms and conditions of this Final Judgment and attached Consent. (signed by Judge Jed S. Rakoff); Mailed copies and notice of right to appeal. Entered On Docket: 8/2/01. (kg) (Entered: 08/02/2001)
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